

VERIFIED STATEMENT CLAIMING SMALL ENTITY STATUS Docket No.
(37 CFR 1.9(f) & 1.27(b)) -- SMALL BUSINESS CONCERN

Applicant or Patentee: J.J.Richardson, Steve Stone and Donald Onken

Serial or Patent No.:

Filed or Issued:

Title: Sensing Device for Monitoring Conditions at a Remote Location and Method Therefor

I hereby declare that I am:

- ☐ the owner of the small business concern identified below:
☒ an official of the small business concern empowered to act on behalf of the concern
identified below:

NAME OF SMALL BUSINESS CONCERN: DSJ Enterprises, Inc.

ADDRESS OF SMALL BUSINESS CONCERN: 2306 Magnolia Drive, Jacksonville, IL 62650

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 CFR § 121.12, and reproduced in 37 CFR § 1.9(d), for purposes of paying reduced fees to the United States Patent and Trademark Office, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention described in:

- ☒ the specification filed herewith with title as listed above.
☐ the application identified above.
☐ the patent identified above.

If the rights held by the above identified small business concern are not exclusive, each individual, concern or business organization having rights in the invention must file separate verified statements averring to their status as small entities, and no rights to the invention are held by any person who would not qualify as an independent inventor under 37 CFR § 1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR § 1.9(d) or a nonprofit organization under 37 CFR § 1.9(e).

Each person, concern or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below:

- ☒ No such person, concern, or organization exists.
☐ Each such person, concern or organization is listed below:

Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR § 1.27)

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the

issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR § 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application, any patent issued thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING:

TITLE OF PERSON IF OTHER THAN OWNER: President

ADDRESS OF PERSON SIGNING: X BOX-72 EASTON IL 62633

SIGNATURE

X Donald R. Smith

DATE

X 10-29-99

66207-8642450

VERIFIED STATEMENT CLAIMING SMALL ENTITY STATUS Docket No.
(37 CFR 1.9(f) & 1.27(b)) -- INDEPENDENT INVENTOR

Applicant or Patentee: J.J.Richardson, Steve Stone and Donald Onken

Serial or Patent No.:

Filed or Issued:

Title: **Sensing Device for Monitoring Conditions at a Remote Location and Method Therefor**

As a below named inventor, I hereby declare that I qualify as an independent inventor as defined in 37 CFR § 1.9(c) for purposes of paying reduced fees to the Patent and Trademark Office described in:

- ☒ the specification filed herewith with title as listed above.
☐ the application identified above.
☐ the patent identified above.

I have not assigned, granted, conveyed or licensed and am under no obligation under contract or law to assign, grant, convey or license, any rights in the invention to any person who would not qualify as an independent inventor under 37 CFR § 1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR § 1.9(d) or a nonprofit organization under 37 CFR § 1.9(e).

Each person, concern or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below:

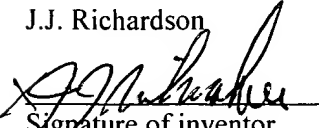
- ☐ No such person, concern, or organization exists.
☒ Each such person, concern or organization is listed below:
Donald Onken, of Easton, Illinois Steve Stone, of Virden, Illinois
DSJ Enterprises, Inc., an Illinois corporation

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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application, any patent issued thereon, or any patent to which this verified statement is directed.

J.J. Richardson


Signature of inventor

X 10-26-99
Date

VERIFIED STATEMENT CLAIMING SMALL ENTITY STATUS Docket No.
(37 CFR 1.9(f) & 1.27(b)) -- INDEPENDENT INVENTOR

Applicant or Patentee: J.J. Richardson, Steve Stone and Donald Onken

Serial or Patent No.:

Filed or Issued:

Title: Sensing Device for Monitoring Conditions at a Remote Location and Method Therefor

As a below named inventor, I hereby declare that I qualify as an independent inventor as defined in 37 CFR § 1.9(c) for purposes of paying reduced fees to the Patent and Trademark Office described in:

- ☒ the specification filed herewith with title as listed above.
☐ the application identified above.
☐ the patent identified above.

I have not assigned, granted, conveyed or licensed and am under no obligation under contract or law to assign, grant, convey or license, any rights in the invention to any person who would not qualify as an independent inventor under 37 CFR § 1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR § 1.9(d) or a nonprofit organization under 37 CFR § 1.9(e).

Each person, concern or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below:


- ☐ No such person, concern, or organization exists.
☒ Each such person, concern or organization is listed below:
J.J. Richardson, of Jacksonville, Illinois Steve Stone, of Virden, Illinois
DSJ Enterprises, Inc., an Illinois corporation

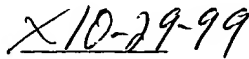
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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application, any patent issued thereon, or any patent to which this verified statement is directed.

Donald Onken


Signature of inventor


Date

66207-36460

VERIFIED STATEMENT CLAIMING SMALL ENTITY STATUS Docket No.
(37 CFR 1.9(f) & 1.27(b)) -- INDEPENDENT INVENTOR

Applicant or Patentee: J.J.Richardson, Steve Stone and Donald Onken

Serial or Patent No.:

Filed or Issued:

Title: **Sensing Device for Monitoring Conditions at a Remote Location and Method Therefor**

As a below named inventor, I hereby declare that I qualify as an independent inventor as defined in 37 CFR § 1.9(c) for purposes of paying reduced fees to the Patent and Trademark Office described in:

- ☒ the specification filed herewith with title as listed above.
☐ the application identified above.
☐ the patent identified above.

I have not assigned, granted, conveyed or licensed and am under no obligation under contract or law to assign, grant, convey or license, any rights in the invention to any person who would not qualify as an independent inventor under 37 CFR § 1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR § 1.9(d) or a nonprofit organization under 37 CFR § 1.9(e).

Each person, concern or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below:

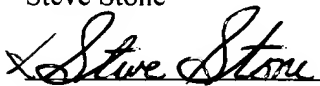
- ☐ No such person, concern, or organization exists.
☒ Each such person, concern or organization is listed below:
Donald Onken, of Easton, Illinois J. J. Richardson, of Jacksonville, Illinois
DSJ Enterprises, Inc., an Illinois corporation

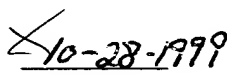
Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR § 1.27)

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR § 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application, any patent issued thereon, or any patent to which this verified statement is directed.

Steve Stone


Signature of inventor


Date

66207-8342460

U.S. Department of Commerce
Patent and Trademark Office

Attorney Docket Number:
First Named Inventor: J.J. Richardson

COMPLETE IF KNOWN

**DECLARATION FOR
UTILITY OR DESIGN
PATENT APPLICATION**

Application Number:

Filing Date:

Group Art Unit:

Examiner Name:

☒ Declaration Submitted w/ Initial Filing OR ☐ Declaration Submitted after Initial Filing

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are stated below next to my name.

I believe I am the original, first and sole (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

Sensing Device for Monitoring Conditions at a Remote Location and Method Therefor

the specification of which

☒ is attached hereto

OR

☐ was filed on (MM/DD/YYYY) _____ as United States Application Number or PCT International Application Number _____ and was amended on (MM/DD/YYYY) _____ (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code § 1.19 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any for application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached? Yes <input type="checkbox"/> No <input type="checkbox"/>
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☐ Additional foreign application numbers are listed on supplemental priority sheet attached hereto:

I hereby claim the benefit under Title 35, United States Code, § 119(e) of any United States provisional application(s) listed below

Application Number(s)	Filing Date (MM/DD/YYYY)
60/113466	12/23/1998

☐ Additional provisional application numbers are listed on a supplemental priority sheet attached hereto.

60113466-1

DECLARATION

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s), or ° 365(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

U.S. Parent Application Number	PCT Parent Number	Parent Filing Date (MM/DD/YYYY)	Parent Patent Number (if applicable)
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☐ Additional U.S. or PCT International application numbers are listed on a supplemental priority sheet attached hereto.

As named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

Mark E. Wiemelt

Registration #: 36,055

Please direct all correspondence to:

Mark E. Wiemelt
Law Offices of Mark E. Wiemelt, P.C.
10 S. LaSalle St., Ste. 3500
Chicago, IL 60603
312/372-7664 Fax: 312/372-6568

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued therefrom.

Name of sole or first inventor:

Given Name: J. Middle Initial: J. Family Name: Richardson Suffix (e.g. Jr.):

Inventor's Signature: 

Dated: 10-28-99

Residence: City: Jacksonville

State: IL

Country: USA

Citizenship: USA

Post Office Address: 2306 magnolia Drive

City: Jacksonville

State: IL

Zip: 62650

Country: USA

Applicant authority:

☒ Additional inventors are being named on supplemental sheet(s) attached hereto.

DECLARATION

ADDITIONAL INVENTOR(S) Supplemental Sheet

Name of Additional Joint Inventor, if any: ☐ A petition has been filed for this unsigned inventor

Name of sole or first inventor: ☐ A petition has been filed for this unsigned inventor

Given Name: Steve Middle Initial: Family Name: Stone Suffix (e.g. Jr.):

Inventor's Signature: X Steve Stone Dated: X 10-28-1999

Residence: City: Virden State: IL Country: USA Citizenship: USA

Post Office Address: X 202 MALBY ROW

City: Virden State: IL Zip: X 62690 Country: USA Applicant authority:

DECLARATION

ADDITIONAL INVENTOR(S) Supplemental Sheet

Name of Additional Joint Inventor, if any: ☐ A petition has been filed for this unsigned inventor

Name of sole or first inventor: ☐ A petition has been filed for this unsigned inventor

Given Name: Donald Middle Initial: Family Name: Onken Suffix (e.g. Jr.):

Inventor's Signature: X Donald Onken Dated: X 10-29-99

Residence: City: Easton State: IL Country: USA Citizenship: USA

Post Office Address: X BOX 42

City: Virden State: IL Zip: X 62633 Country: USA Applicant authority:

66207 "SECRET"

EXPLANATION OF ACKNOWLEDGEMENT

The acknowledgement of your duty to disclose information which is material to the examination of the application in accordance with Title 37, Code of Federal Regulations, § 1.56(a) required in the Declaration is a shorthand way of your acknowledging:

that as to the subject matter of the present application, you do not know and do not believe that it was ever known or used in the United States of America before your invention thereof or patented or described in any printed publication in any country before your invention thereof or more than one year prior to the date of the present application, or in public use or on sale in the United States of America more than one year prior to the date of the present application;

that said subject matter has not been patented or made the subject of an inventor's certificate issued in any country foreign to the U.S. on an application filed by you or your legal representatives or assigns more than twelve months prior to the date of the present invention;

that no application for patent or inventor's certificate on said subject matter has been filed by you or your representatives or assigns in any country foreign to the United States of America, except those identified, if any; and

that you will advise us of the closest prior art of which you are aware so that we may bring it to the attention of the Patent and Trademark Office.

156207-103450